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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **IA** | **Audit Criteria 2023** | | | | | **AUG** | | **SEP** | **OCT** | **NOV** | | **DEC** | | **JAN** | **FEB** | **MAR** | | **APR** | | **MAY** | **JUN** | **JUL** | **Lead / Auditor** | | **Result** |
| 001 | [Context of the organisation](#_4_Context_of) | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 002 | Leadership and commitment | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 003 | Polices – Review only | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 004 | Consultation and patriation of workers | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 005 | Planning\* | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 006 | Objectives and planning to achieve them | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 007 | Environmental aspects and impacts | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 008 | Planning of Changes | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 009 | Support Resources\* | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 010 | Competence Awareness\* | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 011 | Improvement | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 012 | Commination | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 013 | Control of documented information\* | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 014 | Operational planning and control\* | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 015 | Emergency preparedness & response | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 016 | Design and development of products | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 017 | Control of externally provided processes, products & services | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 018 | Production and service provision\* | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 019 | Release of products and serves | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 020 | Control of Nonconforming Outputs | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 021 | Performance evaluation | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 022 | Monitoring, measurement, analysis, and evaluation | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 023 | Risk Assessment | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 024 | Internal audit\* | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **HM / IB** | | **O** |
| 025 | Legal & Other Requirements | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **IB** | | **O** |
| 026 | Continual improvement | | | | | **S** | |  |  |  | |  | |  |  |  | |  | |  |  |  | **IB** | | **O** |
| 027 | Third Party Corrective Actions | | | | |  | | **S** |  |  | |  | |  |  |  | |  | |  |  |  | **IB** | | **O** |
| 028 | Management review\* | | | | |  | | **S** | **S** |  | |  | |  |  |  | |  | |  |  |  | **IB** | | **O** |
| 029 | Nonconformity and corrective actions | | | | |  | |  | **S** |  | |  | |  |  |  | |  | |  |  |  | **IB** | | **O** |
| **Auditors:** | | **HM** | **Helen Medcalf** | **IB** | **Ian Brown** |  |  | | | |  | |  | | | |  | |  | | | | **Complete** | | |
|  |  |  |  |  |  | | | |  | |  | | | |  | |  | | | | **Outstanding** | | |
| **Legend:** | | **S** | **Scheduled** | **C** | **Conformity** | **O** | **Observation / Opportunity** | | | | **NC** | | **Non-Conformity** | | | | **R** | | **Rescheduled** | | | | **FU** | **Follow-Up** | |

\* Includes NHSS8